

# Transportation Performance Management Webinar Series

## Innovations in Caltrans' Performance Management Framework

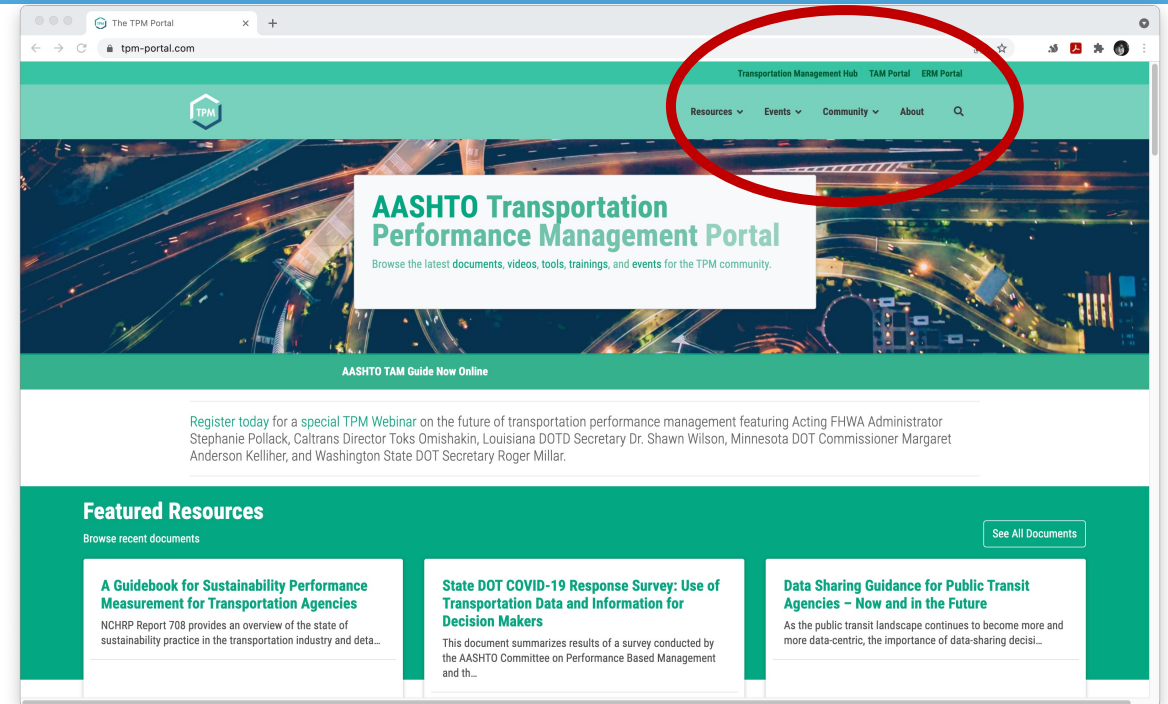
Sponsored by AASHTO and FHWA



**March 24, 2023**  
**TPM Webinar 16**

# Transportation Performance Management Webinar Series

- Our TPM webinar series is held every two months, on topics such as communications, system performance management, data sources, and many more to come!
- Today is the 16<sup>th</sup> webinar in our bi-monthly series
- We welcome ideas for future webinar topics and presentations
- Use the webinar chat panel during the webinar
  - Submit questions for today's presenters
  - Submit ideas for future webinar topics



Find us on the AASHTO TPM Portal  
<https://www.tpm-portal.com>

# AASHTO Introduction

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**Matthew H. Hardy, Ph.D.**

Program Director for Planning and Performance Management, AASHTO

[mhardy@aaashto.org](mailto:mhardy@aaashto.org)



# Webinar Agenda

**1:00 Welcome, Introduction, and Agenda.**

Matt Hardy, AASHTO.

**1:15 Caltrans Performance Management Approach.**

Michael Johnson, Caltrans.

- Overview of the development of Caltrans' Operational Plans
- The TPM component of the plans
- The integration with PBPP and organizational performance outcomes

**2:00 Discussion.**

Moderated by Matt Hardy, AASHTO.

**2:30 Wrap Up**

Matt Hardy, AASHTO.



# Caltrans Performance Management Approach

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Michael Johnson P.E  
State Asset Management Engineer  
California Department of Transportation  
April 2023



Caltrans has 12 Districts

Diverse inventory and needs

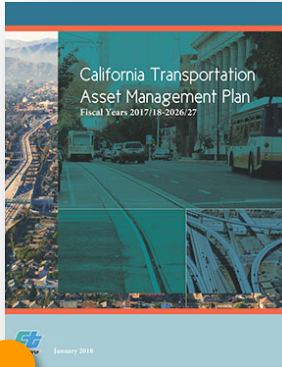
Larger Caltrans Districts have more highway miles than some states

A more granular performance based asset management plan was needed to manage

Needed to operationalize the TAMP and TPM



# California Transportation Asset Management Plan



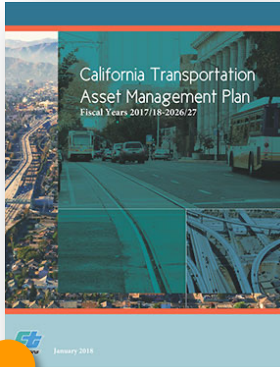
Asset Classes Included	National Highway System	State Highway System
Pavement	X	X
Bridges	X	X
Culverts	X	X
Transportation Management Systems (TMS)	X	X

*Presents a statewide inventory, condition and targets for the 4 asset classes (PM2)*

The **California Transportation Asset Management Plan (TAMP)** is the strategic plan that applies the asset management framework to both the NHS and SHS.

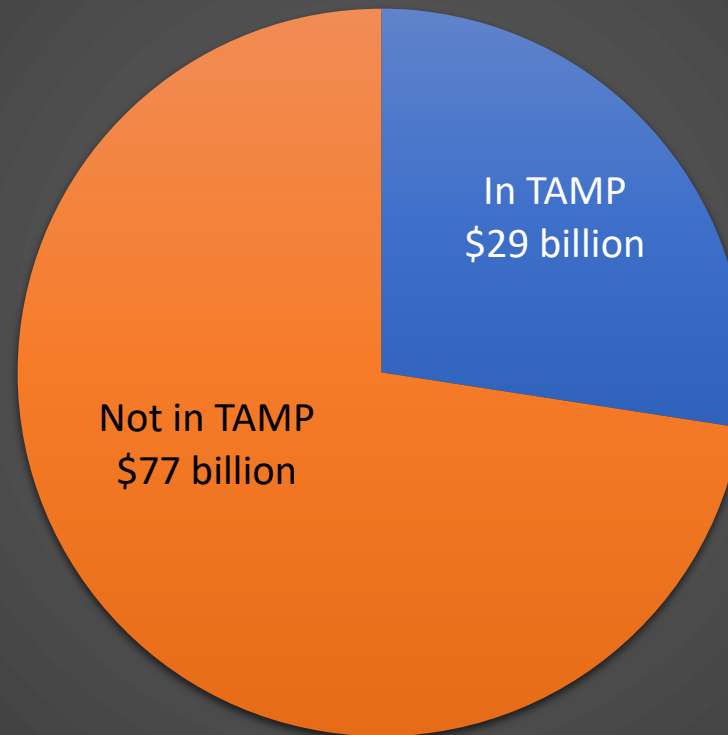


# California Transportation Asset Management Plan



The **California Transportation Asset Management Plan (TAMP)** is the strategic plan that applies the asset management framework to both the NHS and SHS.

## Total State Highway System Needs



# Caltrans Asset Management Cycle



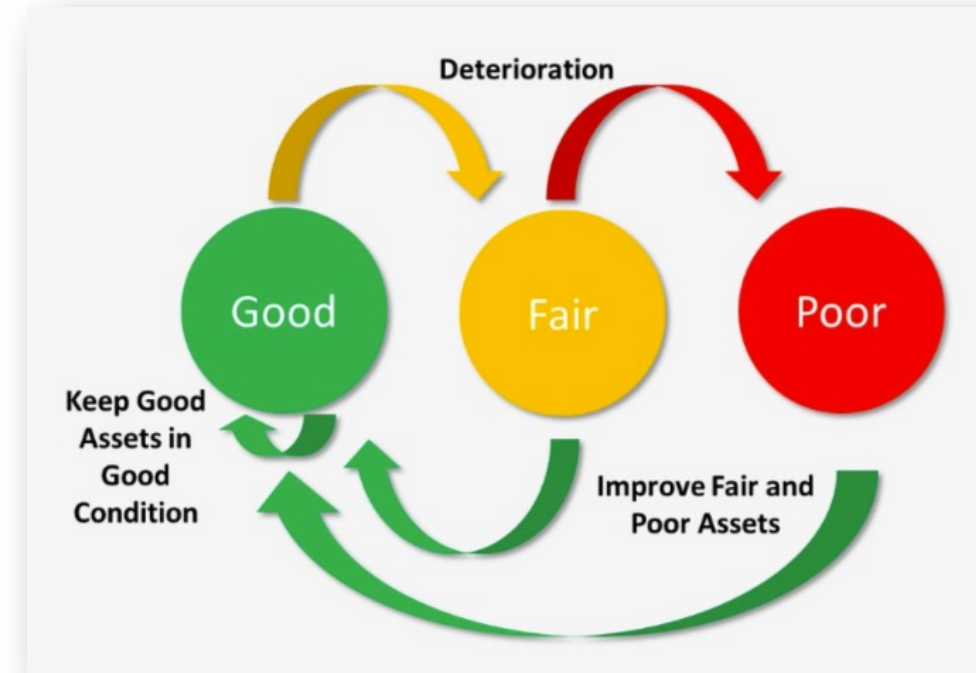
The ***State Highway System Management Plan (SHSMP)*** is an operational plan that includes all major physical assets and deficiencies on the SHS.

The ***California Transportation Asset Management Plan (TAMP)*** is the strategic plan that applies the asset management framework to both the NHS and SHS.

- We operationalize our TAMP through the State Highway System Management Plan
- Includes 33 different performance objectives
- Performance gap analysis is done at the Caltrans district level
- Brings PM1 (safety) and portions of PM3 (travel times) and climate resilience into Asset Management
- Granularity – 33 objectives X 12 districts
  - ~400 different performance gap analysis
  - About 100 times more granular than TAMP

# Three Types of Performance Models Used

- **Physical Asset Model**
  - Physical assets inventory and condition
  - Predictable deterioration
  - All physical Assets
- **Deficiency Model**
  - Applied to objectives that do not have a condition-based need
  - Known needs that do not impact condition
  - Proactive safety, climate resiliency, stormwater ...
- **Reservation Model**
  - Unable to predict when/where needed will arise.
  - Funding reservation based on 3 prior years
  - Major damage, reactive safety projects...



# Performance Analysis Timeline

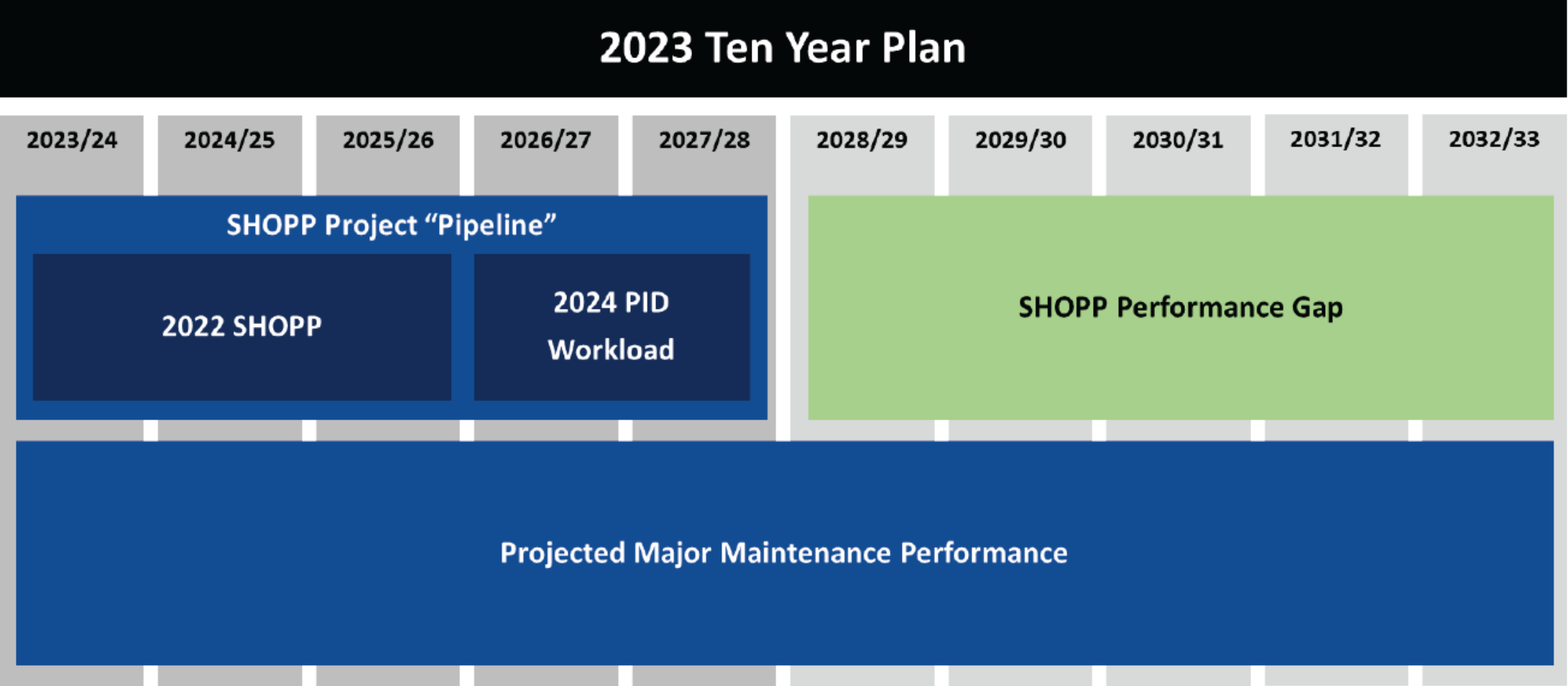


Figure 2-7. Pipeline Projects and Remaining Performance Gap

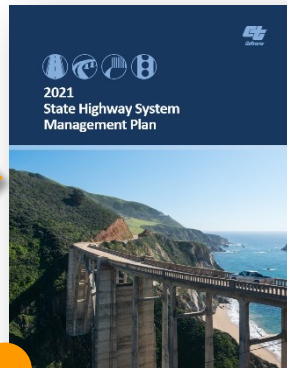
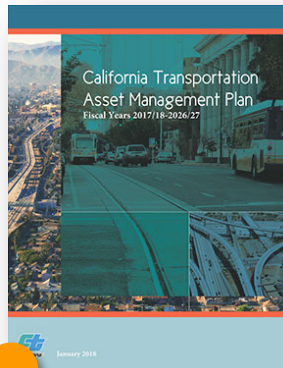


# Excerpt of Performance Based Needs Assessment

Performance Objectives		SHOPP (\$M)			Maintenance (\$M)		Strategic Goal
		Pipeline	Gap	Total 10-yr	Major Maintenance	Field Maintenance Crews	
Safety		\$2,518	\$5,432	\$7,950			
Proactive Safety		\$1,303	\$3,832	\$5,134			Safety
Reactive Safety		\$1,215	\$1,600	\$2,815			Safety
Primary Assets		\$12,253	\$16,803	\$29,056	\$5,094	\$1,454	
Pavement	Class1	\$4,196	\$7,710	\$11,906	\$3,328	\$160	Stewardship
	Class2	\$3,003	\$2,695	\$5,698			Stewardship
	Class3	\$335	\$675	\$1,010			Stewardship
Bridge and Tunnel Health		\$1,890	\$4,309	\$6,199	\$1,386	\$740	Stewardship
Drainage Restoration		\$1,907	\$1,297	\$3,204	\$300	\$286	Stewardship
Transportation Management Systems		\$923	\$116	\$1,039	\$80	\$267	Stewardship
Supplementary Assets		\$2,251	\$19,877	\$22,128			
Complete Streets		\$798	\$13,109	\$13,907			Equity-Livability



# Caltrans Asset Management Cycle



District Performance Plans (DPPs)											
Fiscal Year 2017/18				Fiscal Year 2018/19				Fiscal Year 2019/20			
District	Plan	Goal	Notes	District	Plan	Goal	Notes	District	Plan	Goal	Notes
1	0.00	0.00		1	0.00	0.00		1	0.00	0.00	
2	0.00	0.00		2	0.00	0.00		2	0.00	0.00	
3	0.00	0.00		3	0.00	0.00		3	0.00	0.00	
4	0.00	0.00		4	0.00	0.00		4	0.00	0.00	
5	0.00	0.00		5	0.00	0.00		5	0.00	0.00	
6	0.00	0.00		6	0.00	0.00		6	0.00	0.00	
7	0.00	0.00		7	0.00	0.00		7	0.00	0.00	
8	0.00	0.00		8	0.00	0.00		8	0.00	0.00	
9	0.00	0.00		9	0.00	0.00		9	0.00	0.00	
10	0.00	0.00		10	0.00	0.00		10	0.00	0.00	
11	0.00	0.00		11	0.00	0.00		11	0.00	0.00	
12	0.00	0.00		12	0.00	0.00		12	0.00	0.00	
13	0.00	0.00		13	0.00	0.00		13	0.00	0.00	
14	0.00	0.00		14	0.00	0.00		14	0.00	0.00	
15	0.00	0.00		15	0.00	0.00		15	0.00	0.00	
16	0.00	0.00		16	0.00	0.00		16	0.00	0.00	
17	0.00	0.00		17	0.00	0.00		17	0.00	0.00	
18	0.00	0.00		18	0.00	0.00		18	0.00	0.00	
19	0.00	0.00		19	0.00	0.00		19	0.00	0.00	
20	0.00	0.00		20	0.00	0.00		20	0.00	0.00	
21	0.00	0.00		21	0.00	0.00		21	0.00	0.00	
22	0.00	0.00		22	0.00	0.00		22	0.00	0.00	
23	0.00	0.00		23	0.00	0.00		23	0.00	0.00	
24	0.00	0.00		24	0.00	0.00		24	0.00	0.00	
25	0.00	0.00		25	0.00	0.00		25	0.00	0.00	
26	0.00	0.00		26	0.00	0.00		26	0.00	0.00	
27	0.00	0.00		27	0.00	0.00		27	0.00	0.00	
28	0.00	0.00		28	0.00	0.00		28	0.00	0.00	
29	0.00	0.00		29	0.00	0.00		29	0.00	0.00	
30	0.00	0.00		30	0.00	0.00		30	0.00	0.00	
31	0.00	0.00		31	0.00	0.00		31	0.00	0.00	
32	0.00	0.00		32	0.00	0.00		32	0.00	0.00	
33	0.00	0.00		33	0.00	0.00		33	0.00	0.00	
34	0.00	0.00		34	0.00	0.00		34	0.00	0.00	
35	0.00	0.00		35	0.00	0.00		35	0.00	0.00	
36	0.00	0.00		36	0.00	0.00		36	0.00	0.00	
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38	0.00	0.00		38	0.00	0.00		38	0.00	0.00	
39	0.00	0.00		39	0.00	0.00		39	0.00	0.00	
40	0.00	0.00		40	0.00	0.00		40	0.00	0.00	
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44	0.00	0.00		44	0.00	0.00		44	0.00	0.00	
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50	0.00	0.00		50	0.00	0.00		50	0.00	0.00	
51	0.00	0.00		51	0.00	0.00		51	0.00	0.00	
52	0.00	0.00		52	0.00	0.00		52	0.00	0.00	
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60	0.00	0.00		60	0.00	0.00		60	0.00	0.00	
61	0.00	0.00		61	0.00	0.00		61	0.00	0.00	
62	0.00	0.00		62	0.00	0.00		62	0.00	0.00	
63	0.00	0.00		63	0.00	0.00		63	0.00	0.00	
64	0.00	0.00		64	0.00	0.00		64	0.00	0.00	
65	0.00	0.00		65	0.00	0.00		65	0.00	0.00	
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69	0.00	0.00		69	0.00	0.00		69	0.00	0.00	
70	0.00	0.00		70	0.00	0.00		70	0.00	0.00	
71	0.00	0.00		71	0.00	0.00		71	0.00	0.00	
72	0.00	0.00		72	0.00	0.00		72	0.00	0.00	
73	0.00	0.00		73	0.00	0.00		73	0.00	0.00	
74	0.00	0.00		74	0.00	0.00		74	0.00	0.00	
75	0.00	0.00		75	0.00	0.00		75	0.00	0.00	
76	0.00	0.00		76	0.00	0.00		76	0.00	0.00	
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83	0.00	0.00		83	0.00	0.00		83	0.00	0.00	
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99	0.00	0.00		99	0.00	0.00		99	0.00	0.00	
100	0.00	0.00		100	0.00	0.00		100	0.00	0.00	

The **State Highway System Management Plan (SHSMP)** is an operational plan that includes all major physical assets and deficiencies on the SHS.

The **California Transportation Asset Management Plan (TAMP)** is the strategic plan that applies the asset management framework to both the NHS and SHS.

**District Performance Plans (DPPs)** provide District-specific performance and funding targets derived from the SHSMP.

- Fiscally constrained district performance plans are developed
- The focus on aggregate project level performance outcomes
- Each District then develops a project portfolio that must achieve the performance
- There are financial incentives for them to be efficient
- This process ensures the projects achieve the desired constrained performance outcomes

# District Level Performance Plans

Objectives	Unit	Baseline Inventory	Baseline Performance			Maintenance Target Accomplishments (10 Years)			SHOPP Target Accomplishments (10 Years)									
			Good	Fair	Poor				Pipelined Projects (Through RTL FY 25/26)			Remaining Target Performance (RTL FY 26/27 Through 30/31)			Sum			
						Good to Good	Fair to Good	Poor to Good	Fair to Good	Poor to Good	New	Fair to Good	Poor to Good	New	Fair to Good	Poor to Good	New	
Safety																		
Proactive Safety	Annual Fatal & Serious Injury Coll	1,046	-	-	1,046	-	-	-	-	26	-	-	6.2	-	-	33	-	-
Reactive Safety	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Primary Assets																		
Pavement Class I	Lane Mile	4,490	2,399	2,002	89	1,305	122	0	1,122	62	0	296	5	0	1,418	67	0	0
Pavement Class II	Lane Mile	1,311	424	873	14	588	46	0	597	2	0	284	6	0	881	8	0	0
Pavement Class III	Lane Mile	228	55	173	0	18	44	4	9	0	0	68	0	0	77	0	0	0
Bridge and Tunnel Health	Square Foot	63,819,806	48,329,365	14,136,053	1,354,388	-	2,241,878	268,436	387,125	784,786	0	105,938	107,800	0	493,063	892,586	0	0
Drainage Restoration	Linear Foot	1,804,228	1,486,092	188,386	129,749	-	170,376	0	13,617	18,931	2,757	4,259	5,962	0	17,876	24,893	2,757	0
Transportation Management Systems	Each	4,126	3,019	0	1,107	-	-	-	0	1,316	668	0	120	0	0	1,436	668	0
Supplementary Assets																		
Drainage Pump Plants	Location	52	9	18	25	-	-	-	1	21	0	0	4	0	1	25	0	0
Lighting Rehabilitation	Each	24,366	4,915	2,860	16,591	-	-	-	0	3,991	104	0	464	0	0	4,455	104	0
Office Buildings	Square Foot	716,200	716,200	0	0	-	-	-	0	0	0	0	0	0	0	0	0	0
Overhead Sign Structures Rehabilitation	Each	4,612	2,490	1,526	596	-	-	-	29	387	13	0	85	0	29	472	13	0
ADA Pedestrian Infrastructure	Deficient Element	37,407	-	-	37,407	-	-	-	-	6,430	-	-	526	-	-	6,956	-	0
Safety Roadside Rest Area (SRRA) Rehab	Location	0	0	0	0	-	-	-	0	0	0	0	0	0	0	0	0	0
Transportation Related Facilities	Square Foot	509,658	213,304	129,212	167,142	-	-	-	0	20,623	22,603	0	8,171	0	0	28,794	22,603	0
Weigh-In-Motion Scales	Station	17	11	1	5	-	-	-	0	5	1	0	0	0	0	5	1	0
System Resiliency Objectives																		
Bridge Scour Mitigation	Square Foot	96,747	-	-	96,747	-	-	-	-	96,747	-	-	0	-	-	96,747	-	-
Bridge Seismic Restoration	Square Foot	1,529,189	-	-	1,529,189	-	-	-	-	700,669	-	-	37,189	-	-	737,858	-	-
Major Damage (Emergency Opening)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Major Damage (Permanent Restoration)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Roadway Protective Betterments	Location	19	-	-	19	-	-	-	-	0	-	-	1	-	-	1	-	-
Sea Level Rise	Deficient Unit	22	-	-	22	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Assets and Objectives																		
Bridge Goods Movement Upgrades	Square Foot	62,762,772	45,969,960	5,631,032	11,161,780	-	-	-	0	66,424	1,594	0	0	0	0	66,424	1,594	0
Commercial Vehicle Enforcement Facilities	Square Foot	21,950	1,770	20,180	0	-	-	-	14,000	0	1,252	0	0	0	14,000	0	1,252	0
Complete Streets Fixing Existing	-	1,430,792	1,055,222	307,531	68,039	-	-	-	0	128,262	0	0	0	0	0	128,262	0	0
Complete Streets Building New	-	2,221,357	0	0	2,221,357	-	-	-	0	168,703	0	0	159,921	0	0	328,624	0	0
Fish Passage	Location	11	-	-	11	-	-	-	-	2	-	-	2	-	-	4	0	0
Operational Improvements	Daily Vehicle Hours of Delay	592,000	-	-	592,000	-	-	-	-	15,490	-	-	2,404	-	-	17,894	-	-
Relinquishments	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Roadside Rehabilitation	Acre	7,278	791	1,054	5,433	-	-	-	0	236	0	0	113	0	0	349	0	0
Sign Panel Replacement	Each	17,346	1,365	0	15,981	-	-	-	0	4,593	0	0	626	0	0	5,219	0	0
Storm Water Mitigation	Compliance Unit	8,452	-	-	8,452	-	-	-	-	3,105	-	-	1,577	-	-	4,682	-	-
Transportation Management Systems/Stru	Each	4,126	3,998	0	128	-	-	-	0	0	668	0	0	0	0	0	668	0
Water and Wastewater Treatment at SRRA	Location	0	0	0	0	-	-	-	0	0	0	0	0	0	0	0	0	0
									SHOPP Five-Year Target Investment*			\$1,757,272,284						

# Project Level Performance Definition

District: 05

Tool ID: 13575

Project ID: 0514000055

EA: 1F700

Co-Rte-PM: MON-101-73.1/87.3 (Primary Location)

Bridge

Pavement

Drainage

Facilities

Signs and Lighting

Mobility

Roadside

Complete Streets

Sustainability /Climate Change

Advance Mitigation /Mitigation

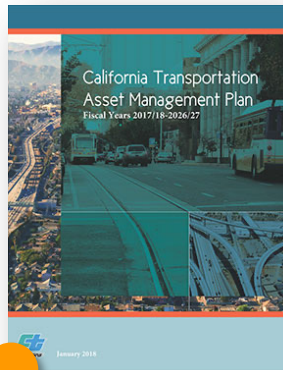
Major Damage & Betterment

Performance & Accomplishments (CCA)

ActID	Activity Detail	Performance Objective	Unit of Measurement	Quantity	Pre-Good	Pre-Fair	Pre-Poor	New	Post-Good	Post-Fair	Post-Poor	HQ Prog Revis Agre with Distr
1 B05	Mainline Existing Asphalt CAPM (e.g. 2" Thin Overlay with or without Wearing Surface, Cold in Place, Digouts, Etc.) (201.121)	Pavement Class II	Lane Miles	20.653	20.653				20.653			
2 B05	Mainline Existing Asphalt CAPM (e.g. 2" Thin Overlay with or without Wearing Surface, Cold in Place, Digouts, Etc.) (201.121)	Pavement Class II	Lane Miles	33.976		33.976			33.976			
3 B10	Existing Shoulders (201.121, .122, .120)	No Performance Objective in the SHSMP	Square Feet	2209700.000								
4 E02	Crash Cushions (201.010, .015)	No Performance Objective in the SHSMP	Each	30.000			15.000	15.000	15.000			
5 E03	Drainage Improvements (201.010, .015)	No Performance Objective in the SHSMP	Each	4.000			4.000		4.000			
6 E07	Guard Rail (201.010, .015)	No Performance Objective in the SHSMP	Linear Feet	9317.000			5240.000	4077.000	5240.000			
7 E16	Rumble Strips (201.010, .015)	No Performance Objective in the SHSMP	Linear Feet	2408.000				2408.000				
8 E17	Signing (201.010, .015)	No Performance Objective in the SHSMP	Each	121.000			44.000	77.000	44.000			
9 H32	Is any Location Within the Project Limits Ped/Bike Accessible?	No Performance Objective in the SHSMP	Yes/No	No								
10 H55	Justification for Complete Streets Not Applicable	Bike/Ped Prohib	1,2,3									

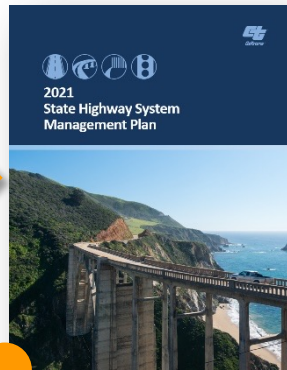
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# Caltrans Asset Management Cycle



The ***State Highway System Management Plan (SHSMP)*** is an operational plan that includes all major physical assets and deficiencies on the SHS.

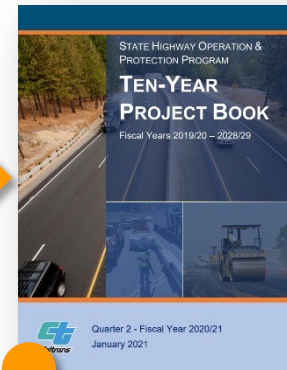
The ***California Transportation Asset Management Plan (TAMP)*** is the strategic plan that applies the asset management framework to both the NHS and SHS.



***District Performance Plans (DPPs)*** provide District-specific performance and funding targets derived from the SHSMP.

[illegible]

The quarterly ***SHOPP Ten-Year Project Book Dashboard*** establishes a 10-yr portfolio of projects to meet targets set forth in the TAMP and SHSMP. Portfolios are developed by the District to meet DPP fiscal and performance constraints. [Projectbook.dot.ca.gov](https://projectbook.dot.ca.gov)



The statewide project set is published quarterly

The project set includes all projects we plan to do in the coming 10 years

The statewide portfolio is fully transparent

A public facing dashboard allows anyone to identify projects of interest



# Quarterly Certification of Portfolio Performance / Budget



## District 4

### Certification of the SHOPP Ten-Year Project Book

Fiscal Year 2022/23, Quarter 2  
February 22, 2023

This document certifies that the District's project portfolio meets fiscal and performance requirements as set forth in Asset Management policy, TAM 19-04, *Criteria for Certification of the SHOPP Ten-Year Project Book* (August 2019). The District's SHOPP performance targets and investment level for the last 5-years of the 2021 Ten-Year Plan are established in the *2021 District Performance Plan* based on an investment plan from the *2021 State Highway System Management Plan (SHSMP)*.

Headquarters Asset Management in coordination with the Headquarters SHOPP Programs completed a review of the District's project portfolio based on schedule, cost, and performance information reported by the District through the Asset Management Tool to determine that requirements have been met. Approved exceptions to fiscal and performance requirements in accordance with TAM policies are summarized in *Attachment A*. A summary of project performance and costs is provided in fact sheets in *Attachment B*. A complete listing of the District's projects is in *Attachment C*.

I certify that to the best of my knowledge the District's Project Book portfolio is fiscally balanced, will achieve performance targets, and represents the best available estimates of project performance, schedule, and cost at this time.

APPROVED BY:

David Ambuhl

03/02/2023

Date

FOR DINA EL-TAWANSY  
District 4 Director

Michael Johnson

03/10/2023

Date

MICHAEL B. JOHNSON  
State Asset Management Engineer

## 5-Year Performance Targets

The District's project portfolio must meet or exceed all performance targets from the District Performance Plan over the last 5-year period.

	Performance Objective	Unit of Measure	Fair to Good SHSMP SHOPP Target	Poor to Good SHSMP SHOPP Target	Fair to Good Performance Balance or Gap	Poor to Good Performance Balance or Gap	Meeting P2G Performance?	Meeting P2G Performance?
Safety	Proactive Safety	Annual Fatal & Serious Injury	0.0	0.5	0.0	10.2	Yes	Yes
	Reactive Safety	Annual Fatal & Serious Injury	0.0	0.3	0.0	0.0	Yes	Yes
Primary Assets	Pavement Class I	Lane Miles	1,669.0	5.0	18.0	30.0	Yes	Yes
	Pavement Class II	Lane Miles	683.0	18.0	5.0	7.0	Yes	Yes
	Pavement Class III	Lane Miles	95.0	6.0	17.0	4.0	Yes	Yes
	Bridge and Tunnel Health	Square Feet	477,589.0	611,314.0	143,512.0	28,868.0	Yes	Yes
Supplemental Assets	Drainage Restoration	Linear Feet	4,616.0	1,111.0	36,461.0	2,092.0	Yes	Yes
	Transportation Management Systems	Each	0.0	628.0	0.0	0.0	Yes	Yes
	Drainage Pump Plants	Locations	0.0	14.0	0.0	0.0	Yes	Yes
	Lighting Rehabilitation	Each	0.0	454.0	0.0	823.0	Yes	Yes
System Resiliency	Office Buildings	Square Feet	0.0	0.0	0.0	0.0	Yes	Yes
	Overhead Sign Structures Rehabilitation	Each	0.0	91.0	0.0	2.0	Yes	Yes
	ADA Pedestrian Infrastructure	Deficient Elem.	0.0	1,141.0	0.0	282.0	Yes	Yes
	Safety Roadside Rest Area (SRA) Rehabilitation	Locations	0.0	0.0	0.0	0.0	Yes	Yes
Other Assets and Objectives	Transportation Related Facilities	Square Feet	0.0	15,447.0	3,648.0	29,798.0	Yes	Yes
	Weight-In-Motion Scales	Stations	0.0	1.0	0.0	2.0	Yes	Yes
	Bridge Seismic Restoration	Square Feet	0.0	142,977.0	0.0	285,243.0	Yes	Yes
	Bridge Scour Mitigation	Square Feet	0.0	181,531.0	657.0	0.0	Yes	Yes
System Resiliency	Major Damage (Emergency Operations)	Locations	0.0	0.0	0.0	0.0	Yes	Yes
	Major Damage (Permanent Repairs)	Locations	0.0	0.0	0.0	0.0	Yes	Yes
	Roadway Photoeye Sensors	Locations	0.0	0.0	0.0	0.0	Yes	Yes
	See Level Rise	Deficiency Units	0.0	0.0	0.0	0.0	Yes	Yes
Other Assets and Objectives	Bridge Upgrade Movement Upgrades	Square Feet	0.0	0.0	0.0	1,173.0	Yes	Yes
	Commercial Vehicle Enforcement Facilities	Square Feet	0.0	6,800.0	0.0	0.0	Yes	Yes
	Complete Streets Fix Existing	Linear Feet	0.0	99,448.0	180.0	4,286.0	Yes	Yes
	Complete Streets Build New	Linear Feet	0.0	286,996.0	0.0	293,974.0	Yes	Yes
Other Assets and Objectives	Fish Passage	Each	0.0	3.0	0.0	2.0	Yes	Yes
	Operational Improvements	DVHD	0.0	1,336.0	0.0	136.0	Yes	Yes
	Relinquishments	Confession Miles	0.0	0.0	0.0	0.0	Yes	Yes
	Roadside Rehabilitation	Acres	0.0	140.0	0.0	2.0	Yes	Yes
Other Assets and Objectives	Sign Panel Replacement	Each	0.0	663.0	0.0	1,436.0	Yes	Yes
	Storm Water Mitigation	Acres	0.0	949.0	0.0	268.0	Yes	Yes
	Transportation Management System Structures	Each	0.0	0.0	0.0	0.0	Yes	Yes
	Water and Wastewater Treatment at SRHAs	Locations	0.0	0.0	0.0	0.0	Yes	Yes

## Project Portfolio Summary

Total 5-Year Project Portfolio Cost	Total Number of Project in Last 5-Years	Average Portfolio Support-to-Capital Ratio	Are All Financial Requirements Satisfied?	Are All Performance Requirements Satisfied?
\$3,518M	186	34%	Yes	Yes

Note: Project costs and counts exclude reservation projects

## Total 5-Year Project Costs

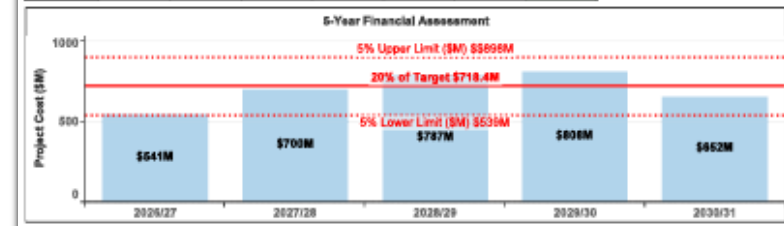
The total cost of the District's project portfolio must not exceed the SHOPP 5-Year Target Investment from the District Performance Plan. (TAM 19-04)

Fiscal Years	5-Y Funding Targets (\$K)	# of Projects	Project Cost (\$K)	Balance (\$K)	Percent of 5-Year Target Funding	Within 5-Year Target Funding?
2026/27 to 2030/31	3,692,111	186	\$3,518,041	\$74,071	97.9%	Yes

## Annual Total Project Costs

The total cost of the District's project portfolio in any one year of the last 5-years must fall within 20% +/-5% (i.e., greater than 15% and less than 25%) of the District's total SHOPP 5-Year Target Investment from the District Performance Plan. The two years of the District's project portfolio corresponding to the PID Workplan (years 6 & 7) must be less than 40% of the District's SHOPP 5-Year Target Investment. (TAM 19-04)


Fiscal Year	5-Y Funding Targets (\$K)	Annual Target Funding (\$K)	# of Projects	Project Cost (\$K)	Balance (\$K)	Percent of 5-Year Target Funding	Within 20% +/-5% Annual Target Funding?	Percent of 5-Year Target Funding for Years 6 & 7	Less Than 40% Annual Target Funding for Years 6 & 7?
L.L. PASSED	3,692,111		10	\$29,896		0.8%			
2026/27	3,692,111	\$718,422	26	\$641,285	\$177,137	15.1%	Yes	35.4%	Yes
2027/28	3,692,111	\$718,422	30	\$700,054	\$18,368	19.5%	Yes		
2028/29	3,692,111	\$718,422	48	\$787,117	(\$68,695)	21.9%	Yes		
2029/30	3,692,111	\$718,422	49	\$807,792	(\$89,370)	22.5%	Yes		
2030/31	3,692,111	\$718,422	33	\$651,935	\$66,485	18.1%	Yes		



# Project Transparency (projectbook.dot.ca.gov)

Project Book Dashboard

Project Activities



**SHOPP Ten-Year Project Book (October 2022)**

Accessibility Assistance: Caltrans makes every attempt to ensure our online content is accessible. Due to variances between assistive technologies, there may be portions of this content which are not accessible. We are committed to providing alternative access to the content. Please see the SHOPP Ten Year Book PDF document on the Caltrans Asset Management website <https://dot.ca.gov/programs/asset-management>. Should you need additional assistance, please contact us at (916) 654-2852 or visit <https://dot.ca.gov/request-ada-compliant-documents>.

Number of Projects

2,049

CA State Assembly District

(All)

CA State Senate District

(All)

U. S. Congressional District

(All)

MPO/RTPA

(All)

County

(All)

Route

(All)

Project Status

(All)

Advertised Year

(All)

SHOPP

(All)

Primary Work


(All)

Project Activities

(All)

Type a Key Word to Filter Project Activities

Zoomable Map



Details of Selected Project

SHOPP ID

11278

Location

Santa Barbara 101 - R36.0/R37.0

Description

Near Goleta, from 0.6 mile south and 0.4 mile north of Refugio Road Undercrossing No. 51-0215L/R and Canada del Refugio No. 51-0030S. Replace two bridges and upgrade bridge railing on one bridge.

Status

In Design

Advertised Year

2022/23

Primary Work

Bridge

Primary Scope

3 Bridge(s)

Activities

Bridge Rail

Contact Information

District 5 - San Luis Obispo / Santa Barbara  
Street Address: 50 Higuera Street  
San Luis Obispo, CA 93401-5415  
Mailing Address: 50 Higuera Street  
San Luis Obispo, CA 93401-5415  
Phone Number: (805) 549-3111..

Bridge Replacement/New Construction

District Project Portal

[Go to District Project Portal](#)

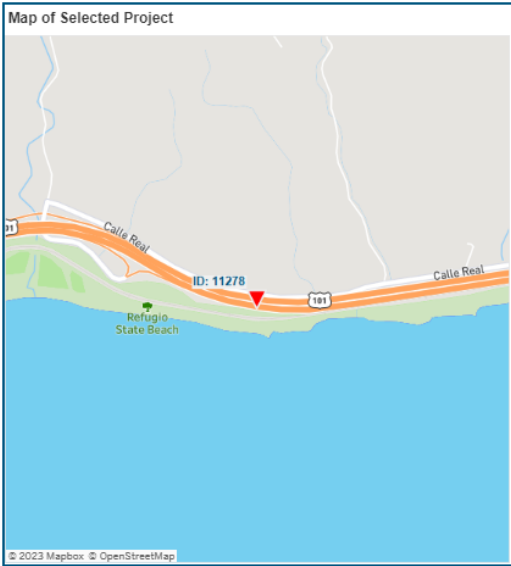
Project Details Link

[Go to Project Details](#)

Google Map

[Go to Google Map](#)

Map of Selected Project



Project Book

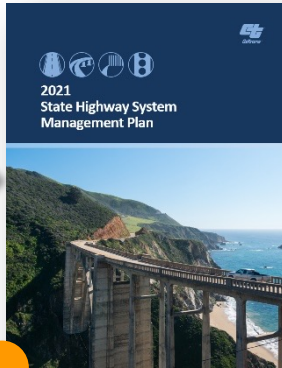
SHOPP ID	District	County	Route	Begin Mile	End Mile	Activity	Planning or Post-Planning?	Advertised Year	SB-1 Priority	Project Cost (\$K)
22305	1	Del Norte	101	8.2	8.7	Bridge	Post-Planning	2021/22	Yes	\$438
16494	1	Del Norte	101	12.5	16.3	Major Damage - Permanent Restoration	Post-Planning	2030/31		\$10,205
21946	1	Del Norte	101	12.6	13.2	Major Damage - Permanent Restoration	Post-Planning	2026/27		\$31,961
22702	1	Del Norte	101	15.6	16.2	Reactive Safety	Post-Planning	2024/25		\$15,183
23347	1	Del Norte	101	18.4	19.3	Reactive Safety	Planning	2025/26		TBD
20248	1	Del Norte	101	23.593	46.492	Pavement	Planning	2029/30	Yes	TBD



# Caltrans Asset Management Cycle



The **California Transportation Asset Management Plan (TAMP)** is the strategic plan that applies the asset management framework to both the NHS and SHS.



The **State Highway System Management Plan (SHSMP)** is an operational plan that includes all major physical assets and deficiencies on the SHS.



Fiscal Year 2020/21		Fiscal Year 2021/22		Fiscal Year 2022/23		Fiscal Year 2023/24	
Actual	Target	Actual	Target	Actual	Target	Actual	Target
1,234	1,234	1,234	1,234	1,234	1,234	1,234	1,234
567	567	567	567	567	567	567	567
890	890	890	890	890	890	890	890
123	123	123	123	123	123	123	123
456	456	456	456	456	456	456	456
789	789	789	789	789	789	789	789
101	101	101	101	101	101	101	101
202	202	202	202	202	202	202	202
303	303	303	303	303	303	303	303
404	404	404	404	404	404	404	404
505	505	505	505	505	505	505	505
606	606	606	606	606	606	606	606
707	707	707	707	707	707	707	707
808	808	808	808	808	808	808	808
909	909	909	909	909	909	909	909
1000	1000	1000	1000	1000	1000	1000	1000

The quarterly **SHOPP Ten-Year Project Book Dashboard** establishes a 10-yr portfolio of projects to meet targets set forth in the TAMP and SHSMP. Portfolios are developed by the District to meet DPP fiscal and performance constraints. [Projectbook.dot.ca.gov](http://Projectbook.dot.ca.gov)



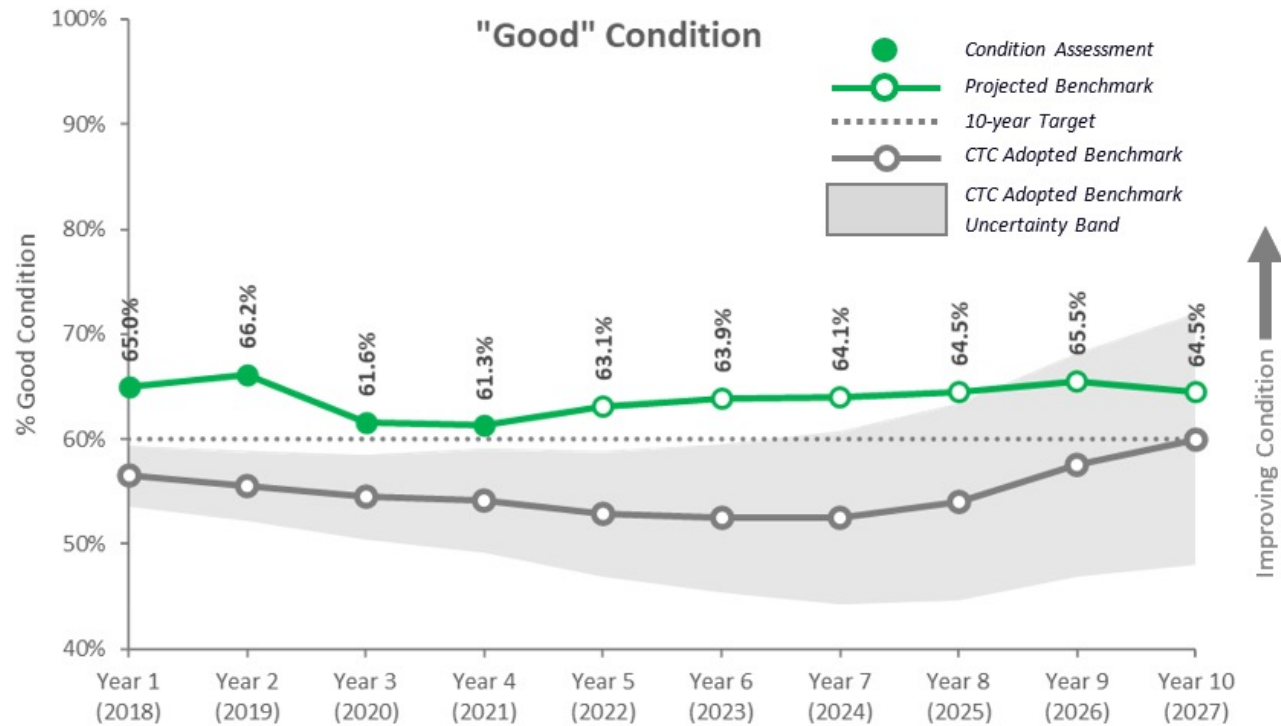
The **SHOPP** is comprised of projects spanning four years of the certified SHOPP Ten-Year Project Book.



The annual **Performance Benchmarks** provides a means to monitor and report on progress towards meeting performance targets.



# Annual Performance Reporting



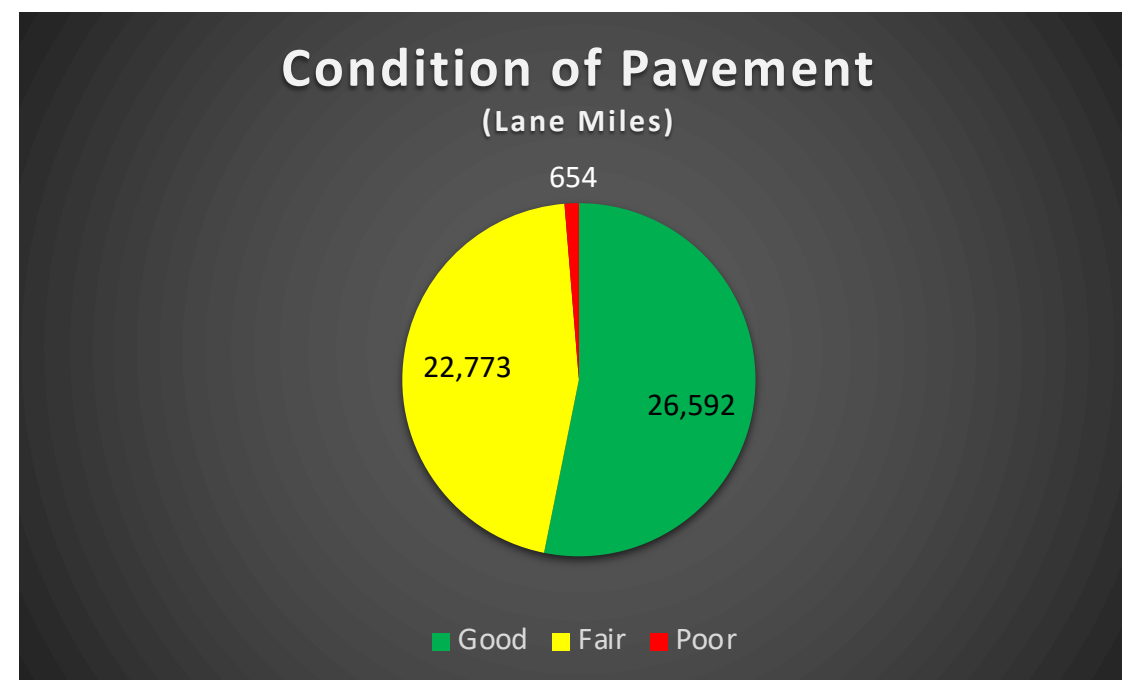
**Figure 1 - Pavement Class I, Good**

**Table 3 – Pavement Class I Condition Summary**

	Condition	2027 Target	2020 Year End	2021 Year End	Change in Condition
	Good	60.0%	61.6%	61.3%	-0.3% ↓
	Fair	39.0%	37.1%	37.4%	+0.3% ↑
	Poor	1.0%	1.3%	1.3%	0.0% ↔

# Pavement Performance Progress

- Pavement condition continues to meet targets!



# Communicate the Projects Behind the Performance



Route 99 concrete pavement- Fresno County from the City of Selma to Kingsburg



Interstate 5 – Redding to Anderson

# Summary

- Caltrans has implemented a comprehensive performance based asset management approach
- Culture has shifted to focus on performance outcomes.
- Considers physical assets, safety, operations, bike and ped, risk mitigation and more.
- Provide a high degree of transparency with the public and encourages project input
- Provides for fairly accurate 10 year projections of performance for the TPM and TAMP
- Annual performance review and accountability

[Michael.b.johnson@dot.ca.gov](mailto:Michael.b.johnson@dot.ca.gov)  
<https://dot.ca.gov/programs/asset-management>  
[projectbook.dot.ca.gov](https://projectbook.dot.ca.gov)

# Discussion

All TPM Webinars: <https://www.tpm-portal.com/event-directory/tpm-webinars/>

## Save the Dates!

**Visit TPM-Portal.com to register for future webinars**

Upcoming Webinars:

TPM Webinar 17: May 17, 2023 – Organizational Mgmt

TPM Webinar 18: July 19, 2023

TPM Webinar 19: September 20, 2023

TPM Webinar 20: November 15, 2023

Let us know topics of interest to you in 2023!

**Webinars Typically  
Begin at 2:00 PM  
Eastern Time**



For more information or to register:

[TPM-Portal.com](https://www.tpm-portal.com)