

# Policy and Rulemaking Breakout

CPBM Annual Meeting  
September 12<sup>th</sup>, 2023, 4:00-5:30 PM



# P&R Background

- History

**MAP-21 Putting Transportation**

**AASHTO SCOPM Task Force on MAP-21 Performance Measures Target-Setting Workshop June 13, 2013**

**Participants:**

- Matt Burdick, Arizona DOT
- Jesse Jones, Arkansas DOT
- Rachel Falsetti, Caltrans
- David Lee, Florida DOT
- Tom Cole, Idaho DOT
- John Selmer, Iowa DOT
- Richard Woo, Maryland SHA
- Mark Van Port Fleet, Michigan DOT
- Bernie Arseneau, Minnesota DOT
- Tim Henkel, Minnesota DOT
- Mara Campbell, Missouri DOT
- Lynn Zanto, Montana DOT
- Steve Salwei, North Dakota DOT
- Tim Gatz, Oklahoma DOT
- Christos Xenophontos, Rhode Island DOT
- Paul Degges, Tennessee DOT
- John Barton, Texas DOT
- Jay Styles, Virginia DOT
- Wanda Wells, Virginia DOT
- Daniela Bremmer, Washington State DOT
- Lori Richter, Wisconsin DOT
- Gregg Fredrick, Wyoming DOT
- Jen Brickett, AASHTO
- Lloyd Brown, AASHTO
- Kelly Hardy, AASHTO
- Matt Hardy, AASHTO
- Janet Oakley, AASHTO
- Leo Penne, AASHTO
- Rich Denbow, AMPO
- DeLania Hardy, AMPO
- Francine Shaw-Whitson, FHWA
- Pete Stephanos, FHWA
- Nicole Katsikides, FHWA
- Rich Taylor, FHWA
- Tom Van, FHWA
- Keith Williams, FHWA
- Andrew Wishnia, FHWA
- Frances Harrison, Spy Pond Partners, LLC
- Perry Lubin, Spy Pond Partners, LLC
- Hyun-A Park, Spy Pond Partners, LLC

**1. National Goals**

**6. Accountability and Transparency**

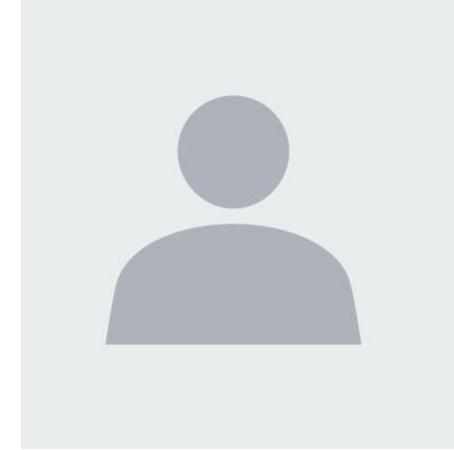
**DEPARTMENT OF TRANSPORTATION UNITED STATES OF AMERICA**

# P&R Background

- History
- Leadership Updates



**Ryan Huff - Chair  
Nebraska DOT**



**Loir Fisette- Vice Chair  
Rhode Island DOT**

# Support

## Charter of the Committee on Performance-Based Management

August 2, 2017

Editorial Updates: November 20, 2017 (Amended April 10, 2018, to include technical corrections)

The name  
Officials

- **Coordinating Subcommittees**

- *Research*—Responsible for identifying priority performance management-related

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### Committee on Performance-Based Management Charter Approved August 2, 2017

research and for disseminating and implementing research results.

- *Professional Development*—Responsible for promoting performance management professional development and capacity building opportunities among STAs.
- *Policy and Rulemaking*—Responsible for reviewing and recommending national-level policies that relate to performance-based management.

The Chief  
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- *Technical Services*—Responsible for hosting, promoting and disseminating information on performance management-related tools, methods and analysis frameworks.

<https://transportation.org/cpbm/wp-content/uploads/sites/40/2023/01/Performance-Based-Management-Committee-Charter-2018.pdf>

# Support

The screenshot displays the TPM Portal website. The top navigation bar includes the TPM logo and menu items: Resources, Tools, Events, Community, and About. The main content area is titled "Policy and Rulemaking Work Group" and contains a message: "This page is under construction. Please click here to email us if you would like to help develop this page or to submit edit requests." Below this message is a horizontal menu with five items: Mission & Vision, How Do I Participate?, Meetings & Events, Membership, and Resources. The "Resources" item is highlighted in a dark green color. Below the menu are two sections: "Connected Resources" and "Your Community's Resources", both of which display "No items found". At the bottom of the page is an "Admin Login" button. On the left side, a sidebar is partially visible, showing the TPM logo and the word "Policy".

<https://www.tpm-portal.com/community/policy-and-rulemaking-work-group/>



# Roles and Responsibilities

- **Policy Development:** develops and promotes policies, guidelines, and best practices that address various aspects of performance management. These policies are often developed collaboratively with its members to ensure consensus and relevance.

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- **Rulemaking Participation:** participates in the federal rulemaking process by providing input, comments, and recommendations on proposed regulations and standards related to transportation. This ensures that state DOT's perspectives and expertise are considered during the rulemaking process.



# Roles and Responsibilities

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- **Coordination and Collaboration:** facilitates collaboration and information exchange among its members, federal agencies, industry partners, and other stakeholders. It serves as a platform for sharing insights, lessons learned, and innovative approaches to transportation challenges.
- **Information Dissemination:** disseminates information about policy developments, regulations, and best practices through publications, websites, newsletters, and other communication channels.
- **Advocacy and Representation:** acts as a unified voice for state departments of transportation (DOTs) when engaging with federal agencies, Congress, and other relevant stakeholders on matters of Performance Management. It advocates for transportation policies and funding that align with the needs and priorities of its member states.



# Vision

"Shaping the Future of Transportation through Effective, Innovative and Inclusive Rulemaking and Policy Development"

# Mission

"The mission of the AASHTO Policy and Rule-Making Working Group is to collaboratively develop, refine, and implement transportation policies and rules that promote safe, efficient, sustainable, and innovative transportation systems across the United States."

# Guiding Principles

- Safety and Accessibility
- Sustainability and Environmental Responsibility

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- Safety and Accessibility
- Sustainability and Environmental Responsibility
- Efficiency and Innovation
- Equity and Inclusion
- Collaborative Partnerships





Break – 15 min





# Draft Action Items

## 1. Review and Familiarization:

- a) Maintain a thorough understanding of the CPBM Strategic Plan to stay aligned with its goals, priorities, and strategies.
- b) Regularly review the CPBM Strategic Plan to ensure all members of the Subcommittee are familiar with the Strategic Plan's content and objectives.

# Draft Action Items

## 2. Goal Alignment:

- a) Identify key goals from the CPBM Strategic Plan that directly relate to the Policy and Rulemaking Work Group responsibilities.
- b) Establish specific and measurable objectives to support each aligned goal.

# Draft Action Items

## 3. Stakeholder Engagement:

- a) Regularly engage with relevant stakeholders, including AASHTO members, transportation agencies, industry partners, and the public, to gather input and feedback on policy and rulemaking initiatives.
- b) Organize workshops, webinars, or other re-occurring coordination meetings to promote dialogue and collaboration with stakeholders.

# Draft Action Items

## 4. Policy and Rulemaking Coordination:

- a) Establish sub-groups with subject matter experts to develop a comprehensive review of proposed or finalized policies or rulemakings.
- b) Utilize the knowledge and expertise of work group members to draft comprehensive and well-informed responses to policies and rules.

# Draft Action Items

## 5. Collaboration with Other AASHTO Committees:

- a) Coordinate with other relevant AASHTO committees, such as the Committee on Data Management and Analytics, Committee on Planning, and others, to ensure consistency and ensure harmonization with goals initiatives and values.
- b) Share updates and seek feedback from these committees during the policy and rulemaking review process.



# Draft Action Items

## 6. Collaboration with Regulatory Agencies:

- a) Collaborate with federal regulatory agencies to inform and align Work Group activities
- b) Seek partnering opportunities to promote consistency and streamline implementation of federal policies and rules.

# Draft Action Items

## 7. Monitoring and Reporting:

- a) With AASHTO's assistance, establish a monitoring system (or leverage preexisting systems) to track the progress of policy and rulemaking initiatives.
  
- b) Provide periodic reports to the CPBM leadership and AASHTO membership to communicate the Work Group's accomplishments and challenges.

# Draft Action Items

## 8. Continuous Improvement:

- a) Work with FHWA and AASHTO to regularly assess the effectiveness of policies and rules implemented for Performance Management purposes.

# Other ideas

- Organize for Final Rulemaking on GHG
  - Review Draft Rulemaking
  - Investigate Historic Communication and Prepare to move on the Final Rulemaking
- Finalize these things – R&R, Vision/etc., and Action Plan
- Update the TAM Portal
- Organize any new coordination meetings with stakeholders and partners

